Dkt. 50875-F-PCT-US/JPW/MAF/DJK

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Graham P. Allaway, et al. Applicant

09/40,216 U.S. Serial No.: Examiner: J. Parkin

Filed December 13, 1999 Group Art Unit: 1648

METHODS FOR PREVENTING HIV-1 INFECTION OF For

CD4+ CELLS

1185 Avenue of the Americas New York, New York March 26, 2003

Assistant Commissioner for Patents Washington, D.C. 20231

SIR:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with their duty of disclosure under 37 C.F.R. \$1.56, applicants direct the Examiner's attention to the following references, which are listed on Form PTO-1449 attached hereto as **Exhibit A**. Copies of the references listed below as items 1-3 are attached hereto as Exhibits B-D, respectively, for the Examiner's review and consideration.

- PCT International Publication No. WO97/47318 Published 18 December 1997 (Exhibit B);
- 2. PCT International Publication No. WO97/37005 Published 9 October 1997 (Exhibit C);
- PCT International Publication No. W096/41020 Published 19 December 1996 (Exhibit D).

In accordance with 37 C.F.R. §1.97(e)(1), the undersigned certifies that each item of information contained in this Information Disclosure Statement was first cited in a Communication from a foreign Patent Office in a counterpart foreign application not more than three (3) months prior to the filing of this Information Disclosure Statement. In particular

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these items were first cited in a Search Report for corresponding European Patent Application No. 98 93 1261.6, a copy of which Search Report is attached hereto as **Exhibit E**.

If a telephone interview would be of assistance in advancing prosecution of the subject application, applicants' undersigned attorney invites the Examiner to telephone at the number provided below.

The Examiner is respectfully requested to make each of these references of record in the present application by initialing and dating a copy of the PTO Form 1449 submitted as Exhibit A and returning the same to applicants' representative with the next Communication concerning this application.

Applicant

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Pursuant to 37 C.F.R.§1.97(d)(2) a fee of \$180.00 dollars is deemed necessary in connection with the filing Supplemental Information Disclosure Statement as it is being filed after the mailing date of a final action under 37 C.F.R. §1.113. A check in the amount of \$180.00 is therefore enclosed herewith. However, if any additional fee is due, authorization is hereby given to charge the required amount of any such fee to Deposit Account No.03-3125.

Respectfully submitted,

hereby certify that correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner Patents, Washington, D.C. 20231.

rales

3/26/03

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